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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No. <b>7.145</b>	
		First Named Inventor <b>Geier et al.</b>	
		Title <b>Vibratory Compactor and Compact Exciter Assembly Usable Therewith</b>	
		Express Mail Label No. <b>EV313038467US</b>	

<b>APPLICATION ELEMENTS</b>  <i>See MPEP chapter 600 concerning utility patent application contents.</i>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
1. ( <input checked="" type="checkbox"/> ) Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i> 2. ( <input type="checkbox"/> ) Applicant claims small entity status <i>See 37 CFR 1.27</i> 3. ( <input checked="" type="checkbox"/> ) Specification [Total Pages <u>26</u> ] <i>(preferred arrangement set forth below, MPEP 1503.01)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claims - Abstract of the Disclosure 4. ( <input checked="" type="checkbox"/> ) Drawing(s) (35 U.S.C. 113) [Total Sheets <u>8</u> ] 5. Oath or Declaration (unexecuted) [Total Pages <u>2</u> ] a. ( <input type="checkbox"/> ) Newly executed (original or copy) b. ( <input checked="" type="checkbox"/> ) Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 16 completed)</i> i. ( <input type="checkbox"/> ) <b>DELETION OF INVENTOR(S)</b> <i>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63 (d)(2) and 1.33 (b).</i> 6. ( <input type="checkbox"/> ) Application Data Sheet. See 37 CFR 1.76 7. ( <input type="checkbox"/> ) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	8. ( <input type="checkbox"/> ) Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. ( <input type="checkbox"/> ) Computer Readable Form (CRF) b. ( <input type="checkbox"/> ) Specification Sequence Listing on: i. ( <input type="checkbox"/> ) CD-ROM or CD-R (2 copies); or ii. ( <input type="checkbox"/> ) paper c. ( <input type="checkbox"/> ) Statement verifying identity of above copies <b>ACCOMPANYING APPLICATION PARTS</b> 9. ( <input checked="" type="checkbox"/> ) Assignment Papers (cover sheet & document(s)) -copy 10. ( <input type="checkbox"/> ) 37 CFR 3.73(b) Statement ( <input type="checkbox"/> ) Power of Attorney <i>(when there is an assignee)</i> 11. ( <input type="checkbox"/> ) English Translation Document (if applicable) 12. ( <input checked="" type="checkbox"/> ) Information Disclosure Statement (IDS)/PTO-1449 ( <input checked="" type="checkbox"/> ) Copies of IDS Citations 13. ( <input type="checkbox"/> ) Preliminary Amendment 14. ( <input checked="" type="checkbox"/> ) Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. ( <input type="checkbox"/> ) Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. ( <input type="checkbox"/> ) Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i) – Applicant must attached form PTO/SB/35 or its equivalent 17. ( <input type="checkbox"/> ) Other: _____

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

( ☒ ) Continuation      ( ☐ ) Divisional      ( ☐ ) Continuation-in-part (CIP)      of prior application No: 09/713,659

Prior application information: Examiner Sunil Singh      Group / Art Unit: 3673

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label		(Insert Customer No. or Attach bar code label here)		or <input checked="" type="checkbox"/> Correspondence address below	
Name	Timothy E. Newholm				
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Name (Print/Type) Timothy E. Newholm		Registration No. (Attorney/Agent) 34,400	
Signature		Date July 8, 2003	

21712 U.S. PTO

10/615074

07/08/03

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$750)

## Complete if Known

Application Number	Unassigned
Filing Date	July 8, 2003
First Named Inventor	Geier et al.
Examiner Name	Sunil Singh
Art Unit	3673
Attorney Docket No.	7.145

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number: 50-1170  
Deposit Account Name: Boyle Fredrickson Newholm Stein & Gratz S.C.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1001	750	2001	375
1002	330	2002	165
1003	520	2003	260
1004	750	2004	375
1005	160	2005	80

SUBTOTAL (1) (\$750)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
16	-20**= 0	18	0
3	-3**= 0	84	0

Multiple Dependent

Large Entity		Small Entity		<u>Fee Description</u>
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0)

\*\* or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1051	130	2051	65
1052	50	2052	25
1053	130	1053	130
1812	2,520	1812	2,520
1804	920*	1804	920*
1805	1,840*	1805	1,840*
1251	110	2251	55
1252	410	2252	205
1253	930	2253	465
1254	1,450	2254	725
1255	1,970	2255	985
1401	320	2401	160
1402	320	2402	160
1403	280	2403	140
1451	1,510	1451	1,510
1452	110	2452	55
1453	1,300	2453	650
1501	1,300	2501	650
1502	470	2502	235
1503	630	2503	315
1460	130	1460	130
1807	50	1807	50
1806	180	1806	180
8021	40	8021	40
1809	750	2809	375
1810	750	2810	375
1801	750	2801	375
1802	900	1802	900

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$0)

## SUBMITTED BY

Name (Print/Type)	Timothy E. Newholm	Registration No. (Attorney/Agent)	34400	Telephone	414.225.9755
Signature		Date	July 8, 2003		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



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INTELLECTUAL PROPERTY LAW

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July 8, 2003

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Utility Patent Application Transmittal  
Inventors: Geier et al.  
Title: *Vibratory Compactor and Compact Exciter Assembly*  
Assignee: Wacker Corporation  
Continuation Application based on U.S. Appl. Ser. No. 09/713,659; filed  
November 15, 2000  
Customer Account No.: **23598**  
Attorney Docket No.: 7.145

Dear Sir:

Enclosed in connection with the above-identified *continuation* patent application are:

- the patent application, consisting of:
  - 18 pages of specification;
  - 16 claims on 7 sheets;
  - an Abstract; and
  - 9 Drawings on 8 sheets;
- Utility Patent Application Transmittal form (PTO/SB/18);
- Fee Transmittal for FY 2003 form (PTO/SB/17);
- our check in the amount of **\$750.00** in payment of the government filing fee for the patent application;

Commissioner for Patents

July 8, 2003

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- copy of the executed Declaration from parent Application Serial No. 09/713,659; filed November 15, 2000;
- copy of the executed Assignment from the inventors, Daniel Geier, Ronald Brinkman, and Jay Baudhuin, to Wacker Corporation from corresponding Application Serial No. 09/713,659; filed November 15, 2000, recorded November 15, 2000 on reel/frame 011293/0191;
- An Information Disclosure Statement (IDS).

The Director is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1170.

Respectfully submitted,



Timothy E. Newholm  
Registration No. 34,400

Customer Account No.:



23598

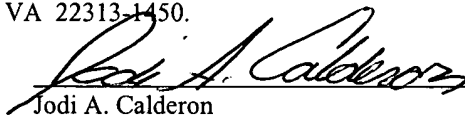
PATENT TRADEMARK OFFICE

Certification Under 37 CFR 1.10

Express Mail Label No: EV313038467US

Date of Deposit: July 8, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Jodi A. Calderon